

# ***In the United States Patent and Trademark Office***

<b>Serial No.:</b>	10/780,313	<b>Docket No.:</b>	17209-452
<b>Filed:</b>	02/17/2004	<b>Conf.No.:</b>	1068
<b>Art Unit:</b>	3691		
<b>Applicant(s):</b>	Daniel Opperman et al.	<b>Examiner:</b>	Ali, Hatem M.
<b>For:</b>	Methods and Apparatus for Facilitating Financial Instrument Trading Orders		

Mailstop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

## **AMENDMENT AND RESPONSE**

Sir:

Further to the Non-Final Office Action dated December 24, 2009 (hereinafter "Office Action"), and in view of the following amendments and/or remarks, Daniel Opperman et al. and/or Attorney(s) for applicant(s) (hereinafter "Applicant") request entry thereof and reconsideration of the above-referenced application.

**Amendments to the Claims** are reflected in the listing of claims begin on page 2.

**Remarks** begin on page 11 of this paper.

**35 USC § 112** remarks begin on page 11 of this paper.

**35 USC § 103** remarks begin on page 12 of this paper.